APR 2 4 2009

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PTO/SB/65 (03-09) Approved for use through 03/31/2012. OMB 0651-0016 and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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## PETITION TO ACCEPTE NAVOIDABLY DELAYED PAYMENT OF Docket Number (Optional) 12513 MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b)) Mail to: Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 Fax: (571) 273-8300 NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282. Application Number: 09/577,751 Patent Number: US 6,845,516 B1 Issue Date: January 25, 2005 Filing Date: December 8, 2000 CAUTION: Maintenance fee (and surcharge, if any) payment must correctly identify: (1) the patent number (or reissue patent number, if a reissue) and (2) the application number of the actual U.S. application (or reissue application) leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d). Also complete the following information, if applicable: 04/29/2009 DALLEN 00000003 502849 6845516 Sale Ref: 00000003 DA#: 502849 6845516 01 FC:1599 original Boods date original application number \_\_\_\_ original filing date resulted from the entry into the U.S. under 35 U.S.C. 371 of international application filed on CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a)) I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is (1) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 OR (2) transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300. Date Signature Typed or printed name of person signing Certificate <del>0000003 502849 - 684551</del>6 <del>190.00 DA</del>

[Page 1 of 4]

This collection of information is required by 37 CFR 1.378(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1.	SMA	LL ENTII	ΓY					
	F	atentee	claims, or has previou	sly claimed, sma	ll entity s	status. See 3	7 CFR 1.27	
2		LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS						
	_		is no longer entitled to			37 CFR 1.27	(a)	
3.			CE FEE (37 CFR 1.20				(9)	
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•	ne appr	opriate m	naintenance fee must t	oe submitted witr	this pet	ition, unless i	t was paid earlier.	
Γ		,	NOT Small Entity				Small Entity	
	Am	ount	Fee	(Code)	A	mount	Fee	(Code)
	<b>✓</b>	\$	3 ½ yr fee	(1551)		\$ 490.00	3 ½ yr fee	(2551)
		\$	7 ½ yr fee	(1552)		\$	7 ½ yr fee	(2552)
		\$	11 ½ yr fee	(1553)		\$	11 ½ yr fee	(2553)
					MAINTE	NANCE FEE	BEING SUBMITT	ED \$ <u>490.00</u>
4.	SUR	CHARGE	<u> </u>					
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5.			PAYMENT					
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6.			TON TO CHARGE AN					
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PTO/SB/65 (03-09)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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7. OVERPAYMENT	
As to any overpayment made, please  Credit to Deposit Account No. 50-2849	· 
OR Send refund check	
WARNIN	IG:
Petitioner/applicant is cautioned to avoid submitting personal inform contribute to identity theft. Personal information such as social seconumbers (other than a check or credit card authorization form PTO-the USPTO to support a petition or an application. If this type of personal user to the USPTO. Petitioners/applicants should consider redacting such personal to the USPTO. Petitioner/applicant is advised that the record of a pethe application (unless a non-publication request in compliance with a patent. Furthermore, the record from an abandoned application referenced in a published application or an issued patent (see 37 C 2038 submitted for payment purposes are not retained in the application.	urity numbers, bank account numbers, or credit card -2038 submitted for payment purposes) is never required by ersonal information is included in documents submitted to the conal information from the documents before submitting them patent application is available to the public after publication of a 37 CFR 1.213(a) is made in the application) or issuance of may also be available to the public if the application is EFR 1.14). Checks and credit card authorization forms PTO-
8. SHOWING	
The enclosed statement will show that the delay in timely particles reasonable care was taken to ensure that the mainted petition is being filed promptly after the patentee was notified expiration of the patent. The statement must enumerate the maintenance fee, the date and the manner in which the patent, and the steps taken to file the petition promptly.	enance fee would be paid timely and that this led of, or otherwise became aware of, the le steps taken to ensure timely payment of the
9PETITIONER(S) REQUESTS THAT THE DELAYED PAYMEN	T OF THE MAINTENANCE EEE BE ACCEPTED AND THE
PATENT REINSTATED.	TO THE WANTENANCE FEE BE AGOE! TED AND THE
	•
	April 24, 2009
Signature(s) of Petitioner(s)	Date
Sean Wooden	43,997
Typed or printed name(s)	Registration Number, if applicable
1350 I Street, NW - Suite 1100	202-662-2700
Address	Telephone Number
Washington, DC 20005	
Address	
	•
ENCLOSURES:	•
✓ Maintenance Fee Payment	
Statement why maintenance fee was not paid timely	
✓ Surcharge under 37 CFR 1.20(i)(1) (fee for filing the mainte	enance fee petition)
Statement Accompanying Petition Under 37 € Expired Unavoidably	C.F.R. 1.378(b) For Reinstatement Of A Patent
<u></u>	<del></del>

	April 24, 2009
Signature	Date
Sean Wooden	43,997
Type or printed name	Registration Number, if appl
<i>,</i> .	
STATEM	<u>MENT</u>
(In the space below, please provide the showing of	
•	
SEE ATTACH	IED
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PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): WI

WILLIAM K. LETSON, et al.

Patent No.:

6,845,516

Filing Date:

December 08, 2000

**Group Art Unit:** 

3765

Title:

WACKER CHAPS

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## STATEMENT ACCOMPANYING PETITION UNDER 37 C.F.R. 1.378(b) FOR REINSTATEMENT OF A PATENT EXPIRED UNAVOIDABLY

Sir:

Petitioner, WILLIAM K. LETSON, hereby Petitions for Reinstatement of the present patent under 37 C.F.R. 1.378(b), and submits the following:

- 1. The present patent expired on January 25, 2009 due to non-payment of maintenance fees. The entire delay in paying the maintenance fee from the due date of the first maintenance fee until the filing of a grantable petition under 37 C.F.R. 1.378(b) was unavoidable.
- 2. Petitioner's non-payment of maintenance fees was unavoidable due to lack of funds, in particular, Petitioner experienced a significant increase in expenses and decrease in income leading up to the due date of the first maintenance fee (3.5 years plus the six-month grace period), January 25, 2009. Prior to the due date, Petitioner was informed by his employer that employees would be laid off, that there would be significant pay cuts, and that all bonuses and raises would be frozen.<sup>1</sup> In addition, Petitioner simultaneously experienced an unexpected increase in expenses due to his mother moving in with him. Furthermore,

<sup>&</sup>lt;sup>1</sup> Indeed, shortly after due date, Petitioner's pay was cut by 8%, his benefits were removed and he did not receive a raise or bonus, as forewarned.

Petitioner's sales of the patented product decreased sixty-five percent (65%) over the prior year, while his general expenses increased by twenty percent (20%) over the same period. Due to these factors, Petitioner simply could not afford and did not have the necessary funds saved up to pay the maintenance fee when due.

- 3. Petitioner was not able to timely pay the first maintenance fee for the present patent, as per the circumstances mentioned above, by the final due date of January 25, 2009. Accordingly, the present patent expired. The undersigned attorney received the Notice of Patent Expiration on March 16, 2009 and notified the Petitioner of the expiration of the present patent.
- 4. Subsequently, the Petitioner was diligent in raising funds to pay the maintenance fee as soon as he was notified of the expiration of the present patent. Petitioner is still not able to presently afford the surcharge and the maintenance fee. The undersigned's law firm is advancing Petitioner the difference, which Petitioner will pay back in monthly installments.
- 5. Petitioner hereby submits the required maintenance of \$490.00 for a small entity set forth in 37 CFR 1.20(e) and the required surcharge of \$700.00 set forth in 37 CFR 1.20(i)(1).
- 6. Please charge any fees required or credit any over payment to Deposit Account 50-2849 pursuant to 37 C.F.R. 1.25. Additionally please charge any fees to Deposit Account 50-2849 under 37 C.F.R. 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Should the Commissioner require anything further in order to fulfill this petition, he is invited to contact the undersigned at the telephone number listed below.

Customer No.: 038598 ANDREWS KURTH LLP

Intellectual Property Department 1350 I Street, NW Suite 1100

Washington, D.C. 20005

Telephone No.: (202) 662-2700 Facsimile No.: (202) 662-2739

Sean Wooden

Attorney/Agent for Applicant(s)

Reg. No. 43,997

Date: April 24, 2009

Respectfully submitted.

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Sean Wooden

Attorney/Agent for Applicant(s)

Reg. No. 43,997

Date: April 24, 2009

Respectfully submitted,